

MedOAnet (Mediterranean Open Access Network)

National Workshop on Open Access Policies, Athens, 6 December 2012

# Enabling Access to Research: Essential Aspects in Effective Policy Design for Research Funding and Research Performing Institutions

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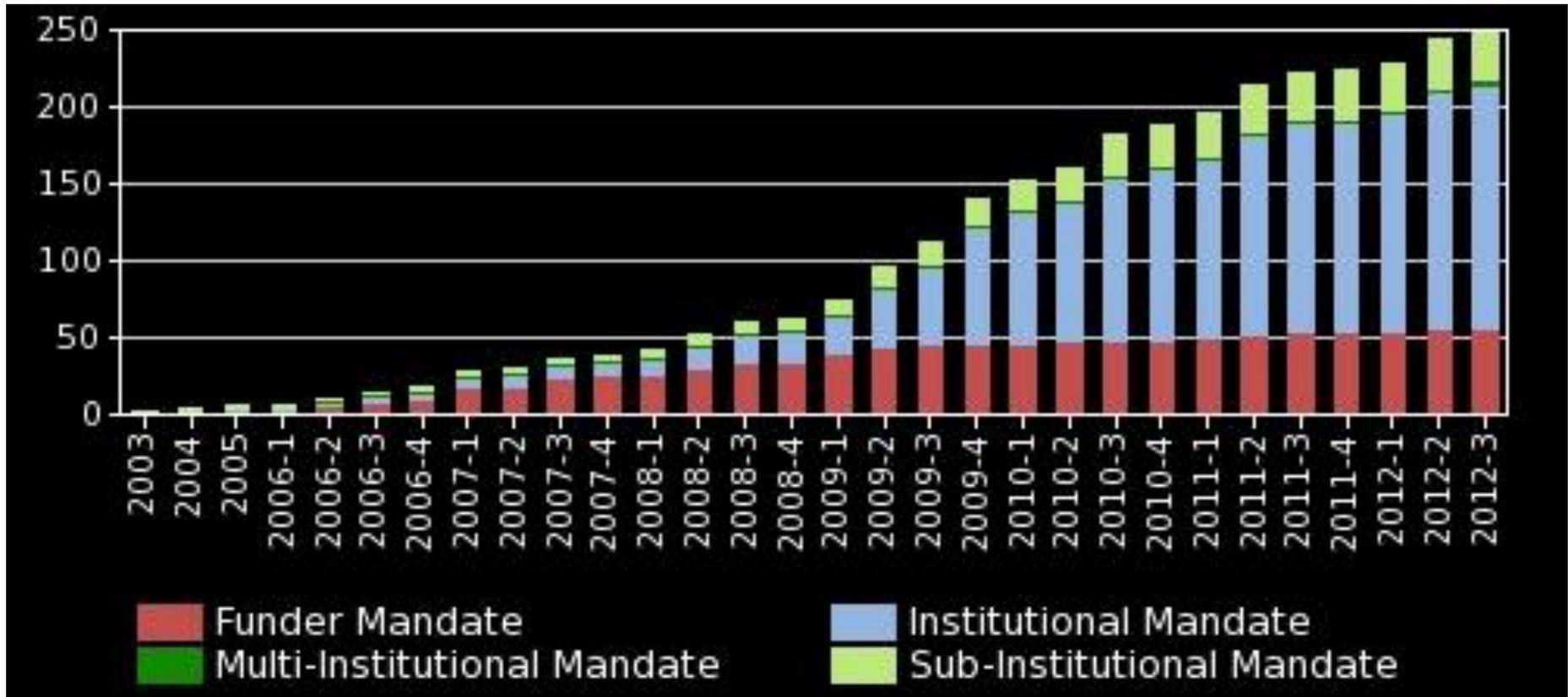
# Routes to Open Access

- Green: using repositories (institutional or subject-based)
- Gold: using Open Access journals (can incur a charge, and charges can be high)
- Mandatory policies are normally about GREEN Open Access so as not to interfere with the academic freedom of authors to publish where they choose



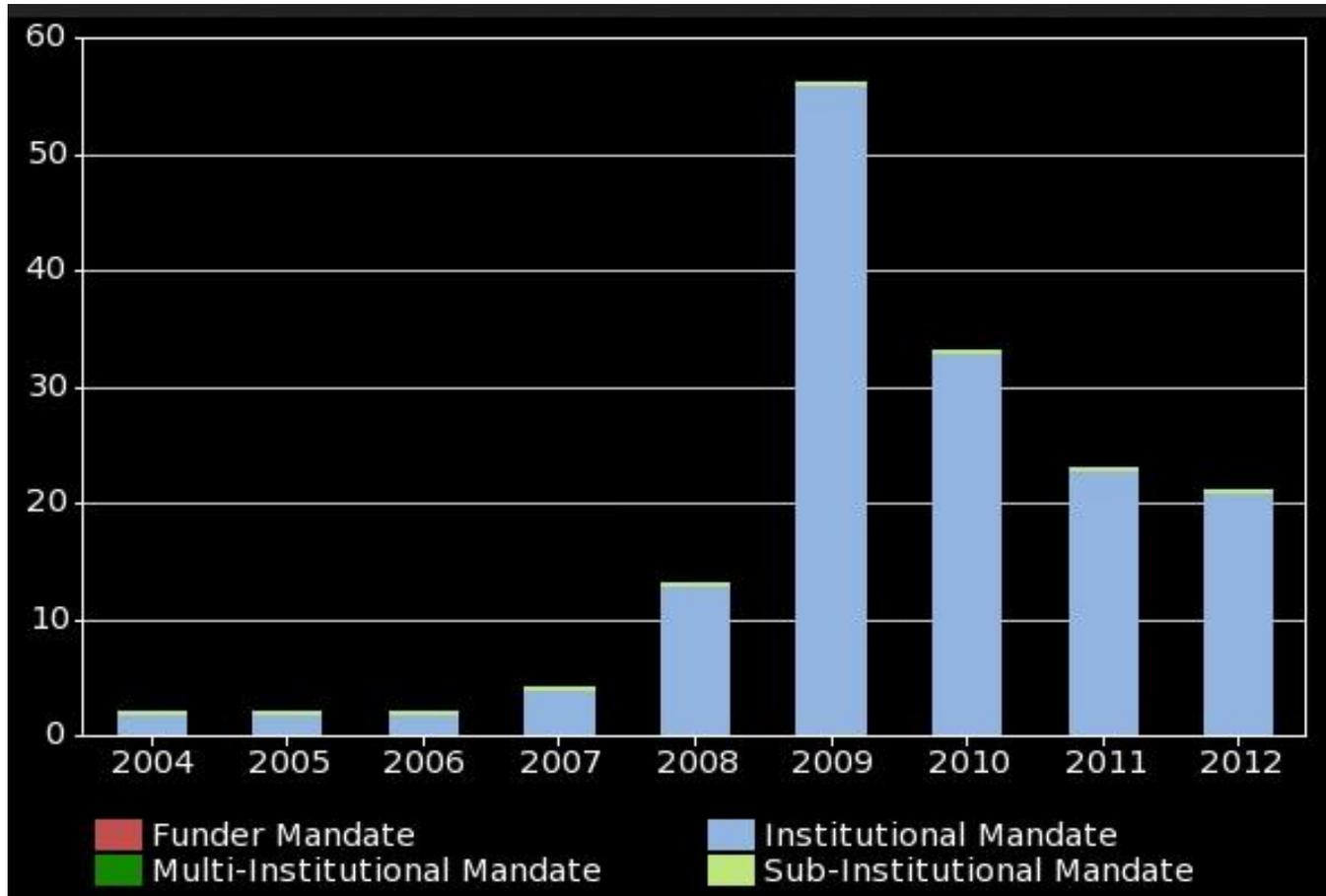
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# Open Access mandatory policies



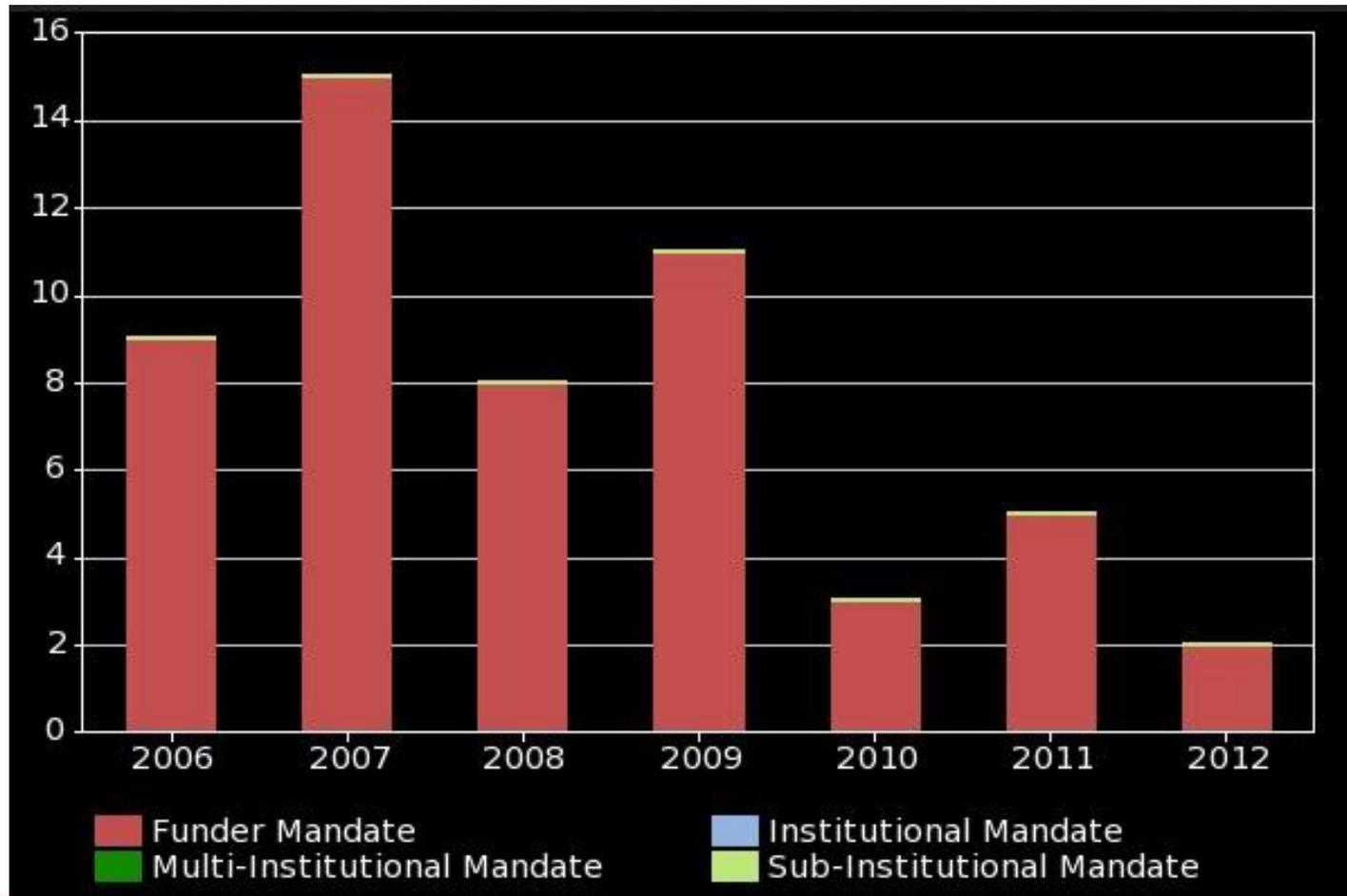
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# Institutional mandates



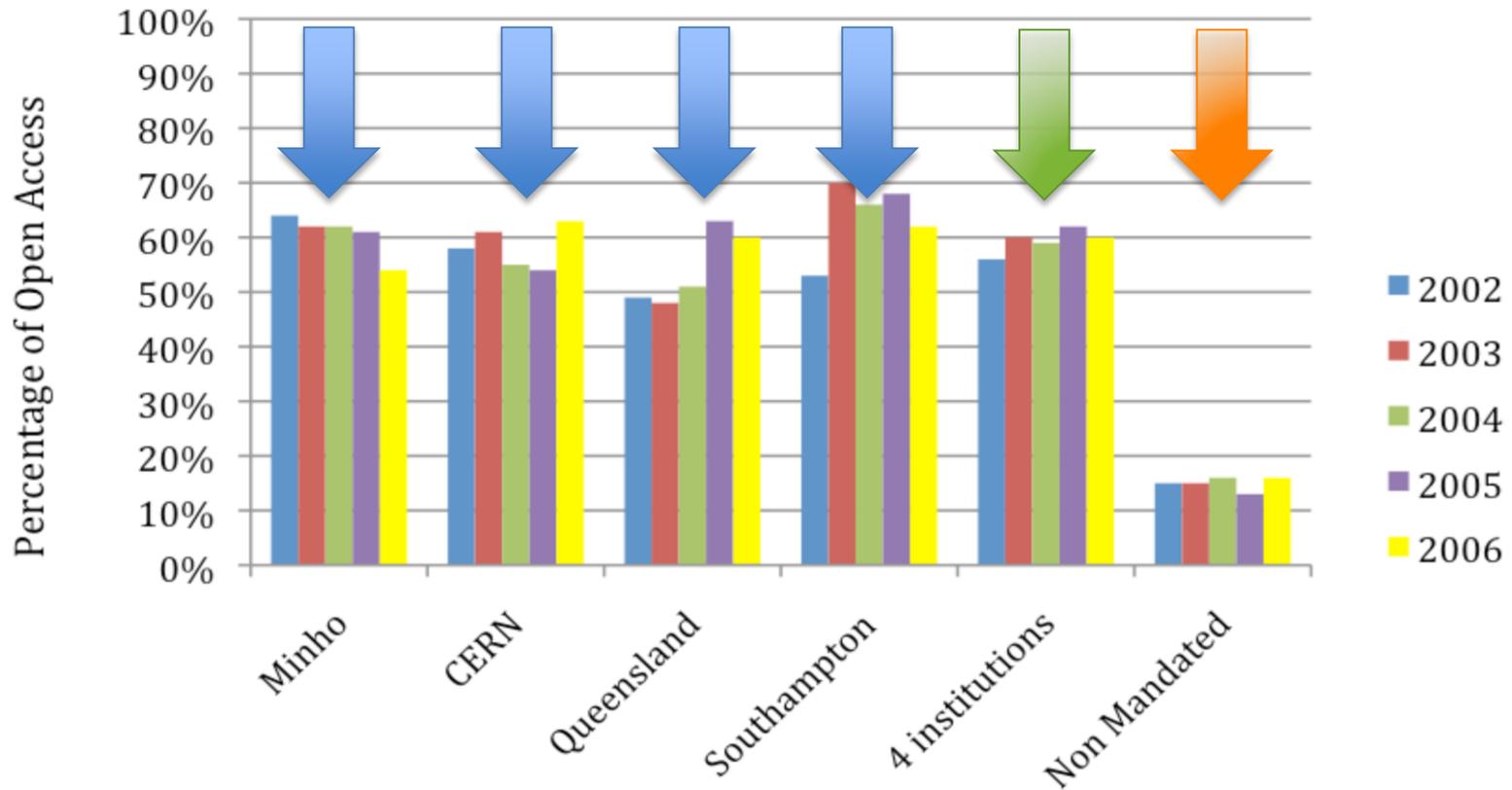
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# Funder mandates



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# The effect of a mandatory policy



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# H2020 and Open Access

- Mandatory [non-policed mandate on 20% FP7 research]
- ‘Green’ OA mandate:
  - Authors deposit into local repositories within 6 (or 12) months
  - ‘Harvested’ by OpenAIRE (Commission-funded European repository)
  - Shop window for European research
- Permits payments from grants for ‘Gold’ OA



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# New OA policies in Europe, 2012

## Institutional mandates:

- Portugal: 3
- Spain: 1
- UK: 1
- Belgium: 1

## Funder mandates:

- EU: European Research Council (updated guidelines 2012)
- Denmark
- Ireland
- UK: RCUK: revised policy
- EU: European Commission: Horizon 2020



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# Policy alignment

- Irons out dissonances for researchers working in interdisciplinary areas or on international teams
- Supports EU harmonisation agenda (research conditions, researcher mobility, etc)
- Key issue in changing author practices and norms
- Allows generic infrastructural services to be established in support of policy
- Alignment, bar detail, across all national policies (and H2020) so far ....
- Except UK



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# Is RCUK's open-access cash a 'reckless' road to ruin?

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15 November 2012

By [Paul Jump](#)

**Senior scientists critical of allocation rules and assumptions behind £100m grant for 'gold' fees, writes Paul Jump**

Research Councils UK's announcement of how it will allocate more than £100 million in block grants for open-access publishing has met with a lukewarm reception.

## Finch access plan unlikely to fly across the Atlantic

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6 December 2012

By [Paul Jump](#)

**Report draws US notice but experts say journals will not 'play RCUK game'. Paul Jump reports**

Felice Levine, executive director of learned society the American Educational Research Association, told the Academy of Social Science's Implementing Finch conference last week that the Finch report on open access had been "noticed" in the US.

However, she said she did not expect its strong preference for author-pays gold open access over self-archiving green open access - which has been endorsed by the UK government and the research councils - to be echoed in US policy.



# UNESCO policy guidelines (2012)



## Policy Guidelines FOR THE DEVELOPMENT AND PROMOTION OF **OPEN ACCESS**



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# Policy development

- Rights: who holds the rights?
  - Publisher
  - Author
  - Institution
- Waiver?
- Embargo period
- Compliance mechanisms



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# A successful funder policy - NIH

- Born as a voluntary policy in 2005
- Changed to mandatory by decree of the US Government, 2007
- Gathering about 75% of target content
- October 2012: strengthening the policing of the policy (to increase compliance)
- Will hold continuation awards until compliance is effected



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# A successful institutional policy: Université de Liège

- You must deposit your articles in ORBi
- Immediately upon acceptance for publication
- Your own final version of the manuscript
- If publisher allows, it should be fully open from time of deposit
- If publisher demands an embargo, comply
- The metadata are open from deposit
- The 'eprint request' button delivers the full-text



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# A well-filled repository

The screenshot shows the ORBi website interface. At the top, there is a navigation bar with the ORBi logo, the text 'Open Repository and Bibliography', and links for 'University of Liège | Library Network | Login | Français'. Below this is a secondary navigation bar with 'Home', 'Other OA projects at the ULg', and 'Help?'. A breadcrumb trail indicates 'You are here: → ORBi → Home'. The main content area is divided into three columns. The left column contains a search bar, an 'OK' button, and sections for 'Advanced search' (MyORBi) and 'Browse ORBi by' (Author, Title, Issue year, Journal title, Document type, Discipline). Below this is the 'ORBi project' section (Background, Content, Benefits and challenges, Legal aspects, Functions and services, Team) and 'The Open Access movement' (Overview, Open Access at the ULg, Webbibliography). The middle column is titled 'ORBi and Open Access News' and features two news items. The first is 'BOAI 10 - Setting the default to open access' dated 01/10/2012, with a 'More info' link. The second is 'ORBi has just passed the benchmark of 50,000 references with full text' dated 16/07/2012, also with a 'More info' link. The right column is titled 'Recently added' and lists several research papers, including 'Weynants V., Godfroid J., Limbourg B.,... - Specific bovine brucellosis diagnosis based on in vitro antigen-specific gamma interferon production' and 'Weynants V., Tibor A., Denoel P. A.,... - Infection of cattle with Yersinia enterocolitica O:9 a cause of the false positive serological reactions in bovine brucellosis diagnostic tests'. A blue oval highlights the 'ORBi has just passed the benchmark of 50,000 references with full text' news item. Another blue oval highlights the 'Recently added' list. At the bottom left, there is a cartoon illustration for 'OPEN ACCESS WEEK' with the text 'TUUT CELA EST POUR VOUS!' and a drawing of people surrounded by papers.



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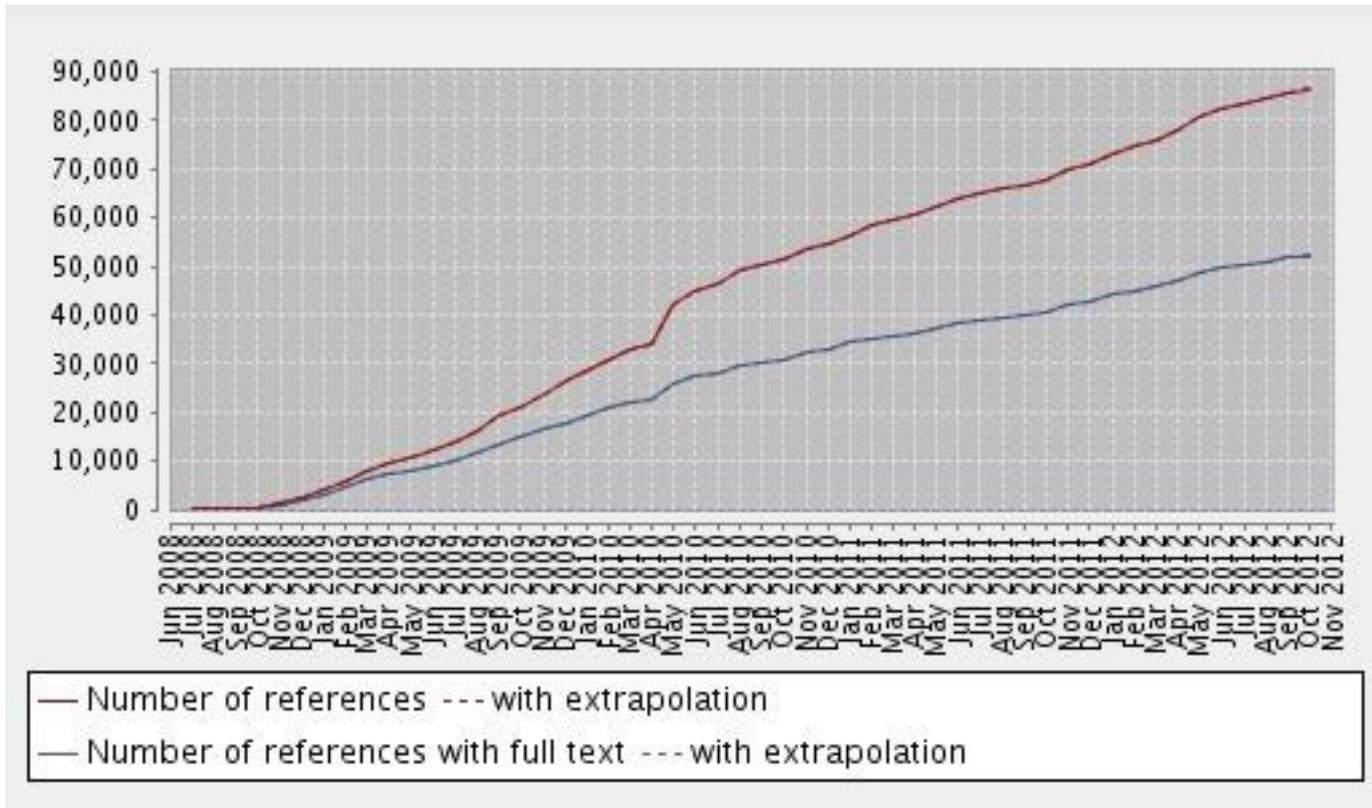
# Universite de Liege OA policy

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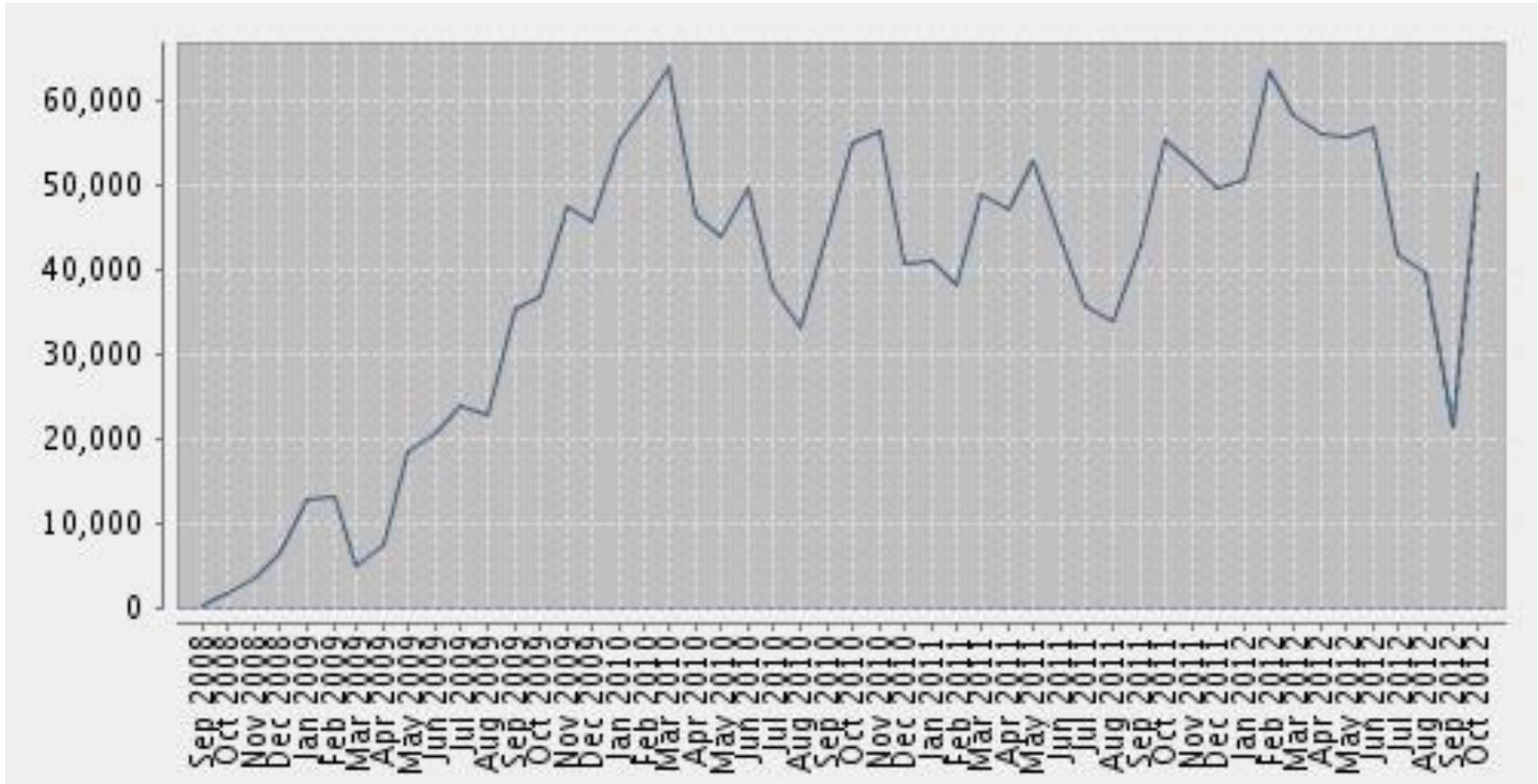
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# People deposit



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# And it gets used



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# University of Edinburgh Strategic Plan 2008-12

“The mission of our University is the creation, dissemination and curation of knowledge.”



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Thank you for listening

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