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Library & University Collections

Implementing an OA policy aligned to Plan S

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GREEN:

DSpace since 2003

Pure since 2011

Over 100,000
OA publications

92% compliance with
REF OA policy

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GOLD:

Ca. £1.0m from UKRI

Ca. £500k from UK
Medical Charities

Preference for green
or exclusively Gold
models over Hybrid

Policy Environment in the UK

- Medical Charity policies (Wellcome Trust, Cancer Research UK etc.) Since 2005.

- University of Edinburgh Research Publications Policy (2010).

- REF Policy (Research Assessment) Since 2016

- UKRI (seven disciplinary funding councils) Revised 2021 – Plan S.

- European Research Council (FP7, Horizon 2020, Horizon Europe).

Plan S

Accelerating the transition to full and immediate Open Access to scientific publications

✓ Stopped paying APCs for individual hybrid-OA
✓ Investigating transformative Read & Publish deals
   - Springer Compact agreement
   - Royal Society of Chemistry
✓ Preference for Immediate Green OA
   - New Research Publications Policy to allow this *despite publishers embargoes.*

Research Publications & Copyright Policy (2021)

Research Publications & Copyright Policy (2021)
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Purpose of Policy
This will allow University of Edinburgh researchers to make their journal articles and conference proceedings available on an open access basis as required by research funders under Plan S https://www.coalition-s.org.

Overview
Immediate Open Access to research outputs, with rights retention, is the direction of travel major researcher funders are taking. On 1 January 2021 the Wellcome Trust introduced its new Open Access policy which requires its grantholders to immediately make their research outputs open access upon publication and to be openly licensed with a Creative Commons attribution licence (CC BY).

It is expected that the new UKRI open access policy, which is due to be announced shortly with a start date of 1 January 2022, will have similar elements of rights retention that allow their grantholders to make their research outputs freely available by the official final publication date.

Furthermore, the recently announced Horizon Europe programme, which is world's largest multinational research and innovation programme, will require immediate open-access publishing for all recipients of Horizon Europe research grants. Authors must also retain intellectual-property rights for their papers.

The original Research Publications Policy was originally developed and implemented in January 2010, and has been revised in 2021 to bring it in line with research council strategies, policies, and practices.

Scope: Mandatory Policy
This policy applies to all staff on research contracts.

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Document control

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UK Quality Code		UK Quality Code			
Policies superseded by this policy		Research Publications Policy (2010)			
Alternative format		If you require this document in an alternative format please email Academic.Services@ed.ac.uk or telephone 0131 651 4490.			
Keywords		Open access, publication, copyright			

- Confirms members of staff own the copyright to their scholarly works.
- Upon acceptance of publication each staff member grants the University of Edinburgh a CC BY licence to their publication
- applies to all scholarly articles, authored or co-authored while the person is a staff member
- deposit the AM in a digital repository, with article metadata available immediately upon deposit and the AM being made accessible to the public on the date of first online publication
- Opt out in case of difficulties
- Standard address convention (citations)
- Strongly encourages use of ORCID unique identifiers

Policy Development Process

- Early work with UK Scholarly Communications Licence Group https://ukscl.ac.uk/

- Consultations with University Lawyers and Senior Management

- Consultation with Research Committees across the University

- Consultation with Human Resources and Trade Union representatives (University & Colleges Union Scotland).

Policy Implementation

- Communications plan being finalised.

- Need to communicate with publishers over the coming months.

- Policy Commences in January 2022

- 'Soft' implementation at first – no local sanctions for non-compliance (though there may be funder sanctions from April!)

Thank You!

Questions, comments, comparisons?

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