

Contracting in the Age of Open Access Publications

A First Systematic Analysis of Available Consortium-Publisher Documents



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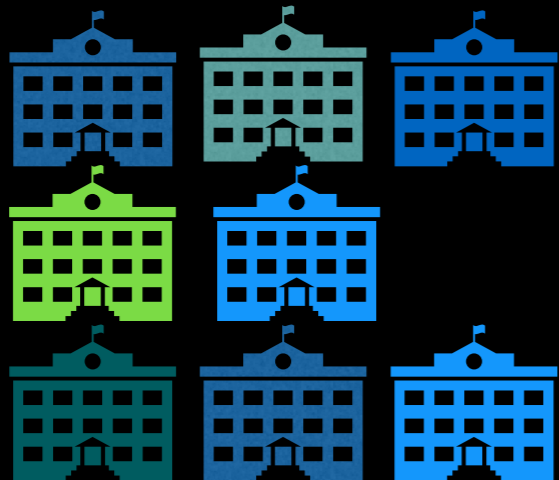
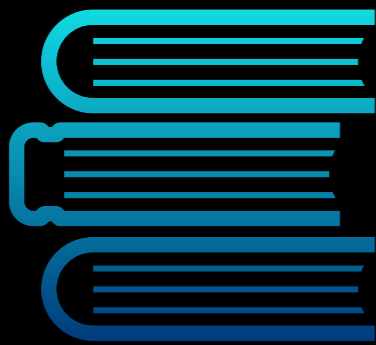
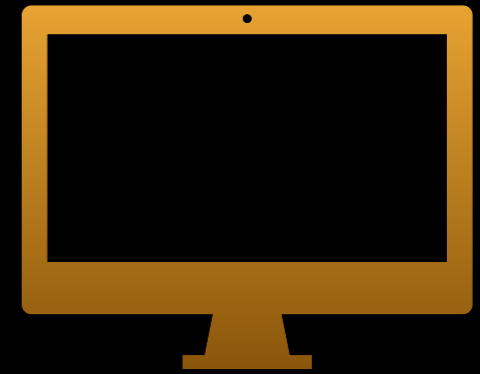


Athens
2022

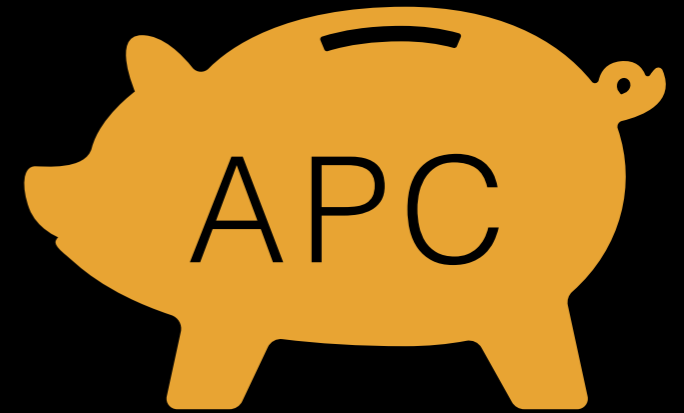
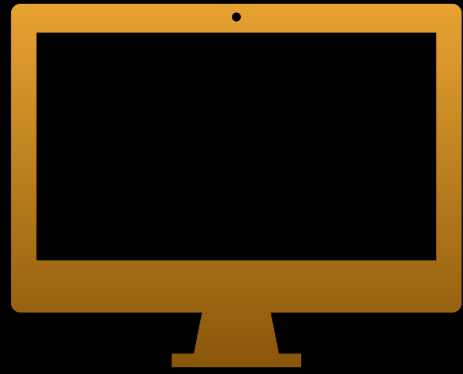
“ Tradition Meets Innovation ”

April 5-7, 2022 - Hybrid Event
National Library of Greece
Stavros Niarchos Foundation Cultural Center
Syggrou Avenue 364, 17674 Kallithea
Athens, Greece

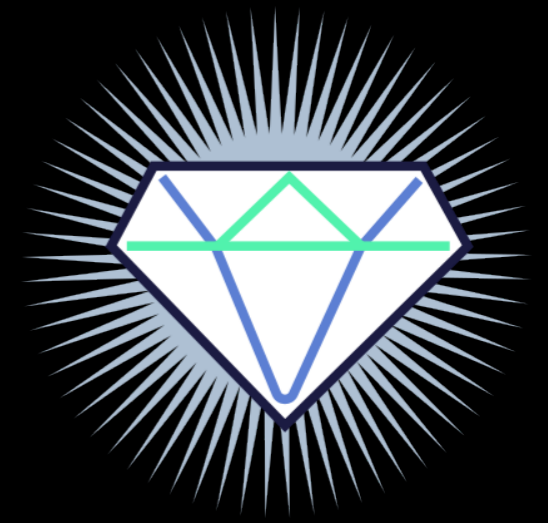
how did we get there? (I)



how did we get there? (II)



Hybrid Journal



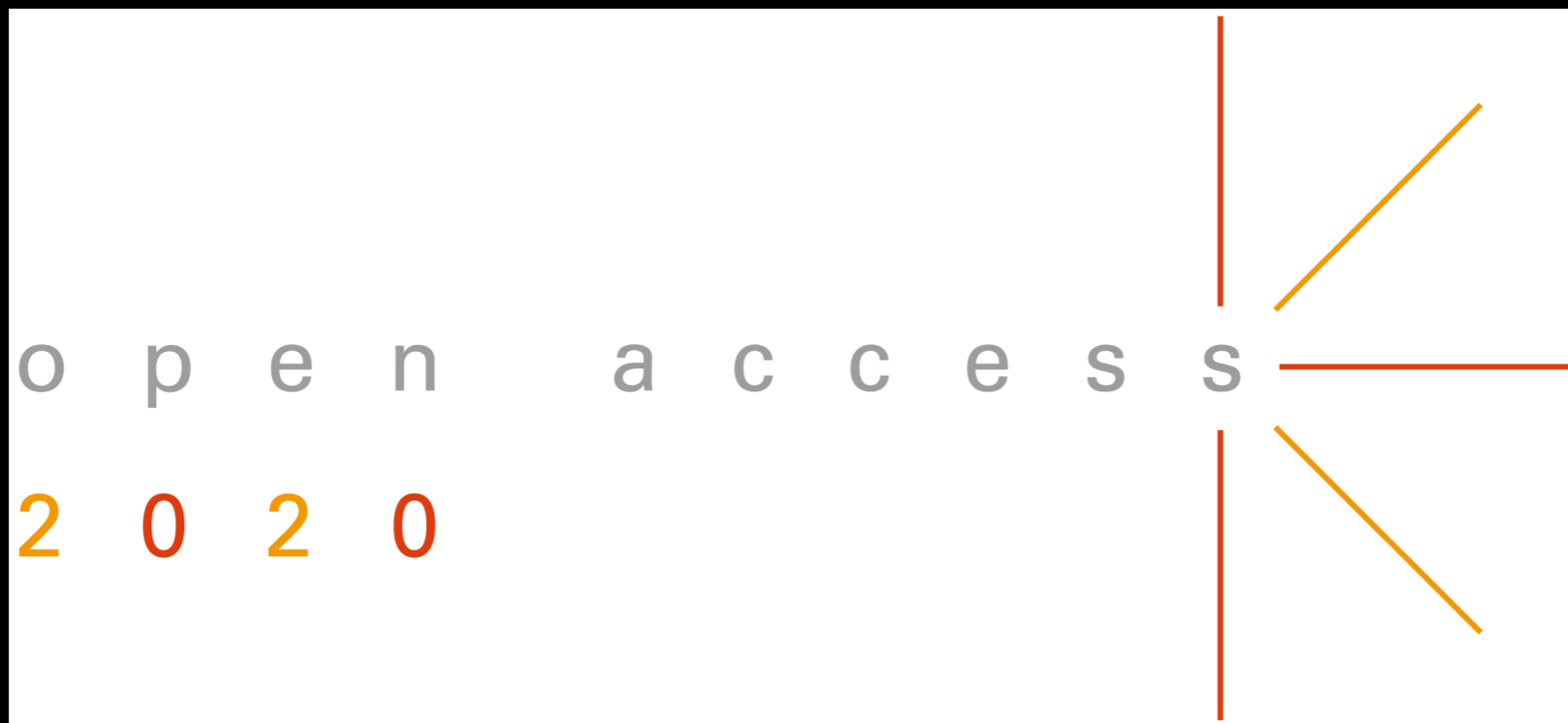
how did we get there? (III)



2008



2012



2015

aims & calendar

1. General frame: Transformation of academic publications business models in OA times
2. Specific Object: The case of contracts mixing subscription and OA publication
3. Corpus limits: Europe consortiums up to 2020
4. Analysis of public documents: mainly consortium/publisher agreements

searching TAs



ESAC

132



DEAL



openaccess.nl



Bibsam



openaccess.no



University of Vienna



Finelib



JISC



EISZ

41

the state of agreements

	VSNU	30
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	Bibsam	20
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	KEMÖ	19
--	-------------	-----------

	MPDL/DEAL	18
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	EISZ	16
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	Finelib	14
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	JISC	12
--	-------------	-----------

	Swissuniv.	4
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	Slovenian C	4
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	CSISC	3
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	Couperin	2
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	HEAL	2
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the state of agreements

RSC	13
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Elsevier	13
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Springer Nature	13
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IOP	11
------------	-----------

Cambridge UP	10
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ACS	10
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De Gruyter	9
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Wiley	9
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Sage	9
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AIP	3
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Brill	3
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EDP Sciences	2
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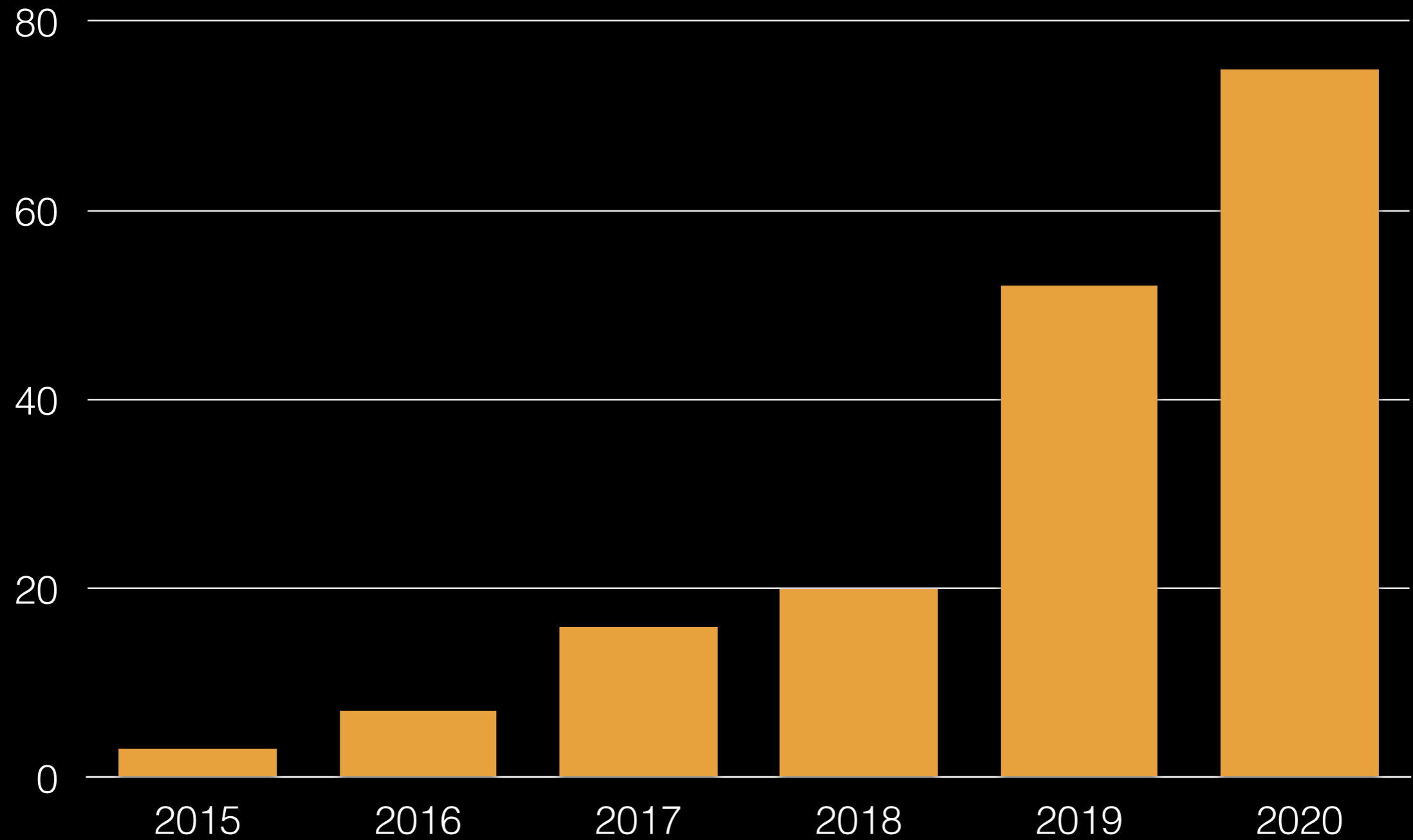
IOS Press	2
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Rockefeller UP	1
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APS	1
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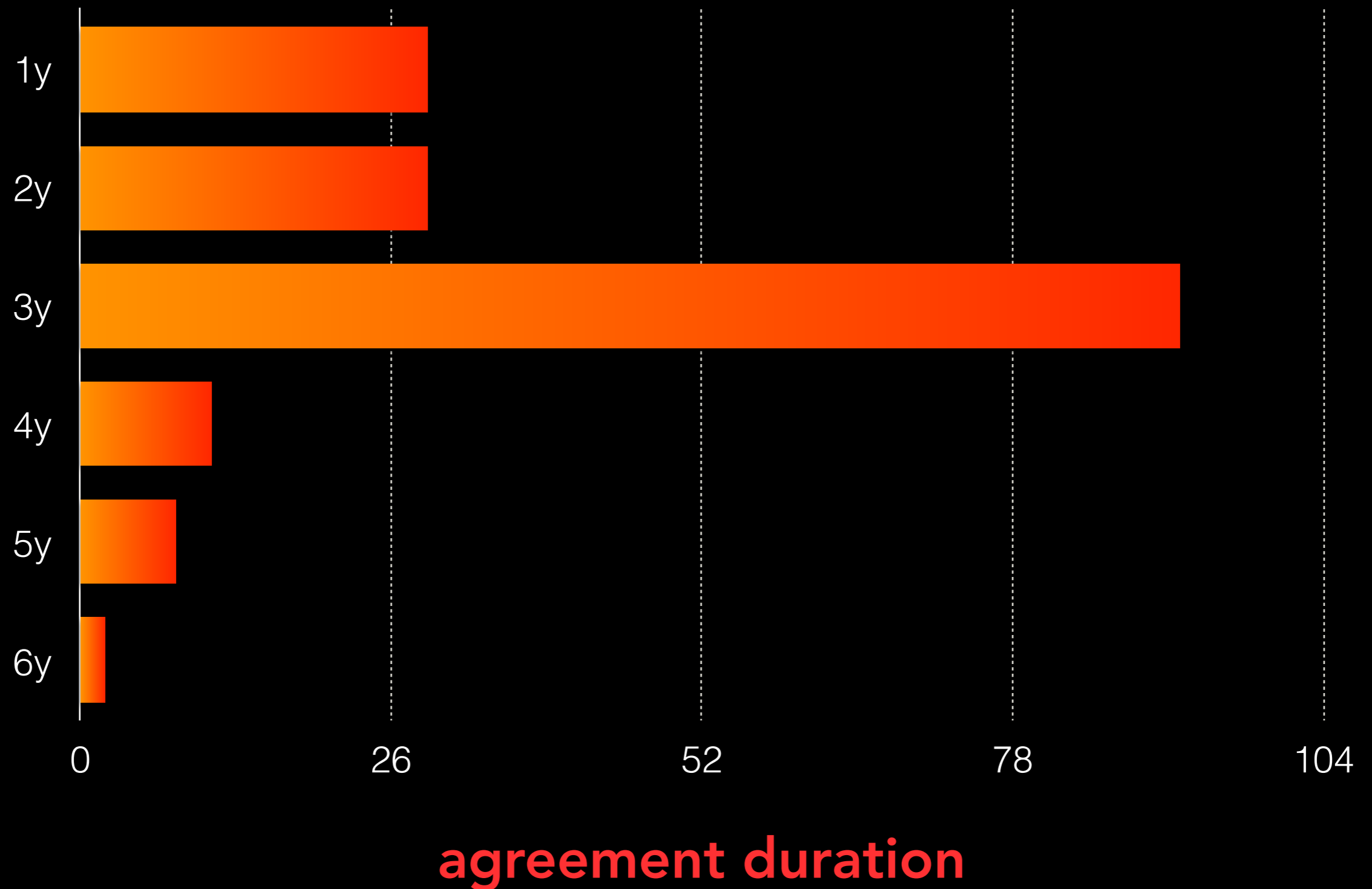
Spie	1
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the state of agreements



agreement start year

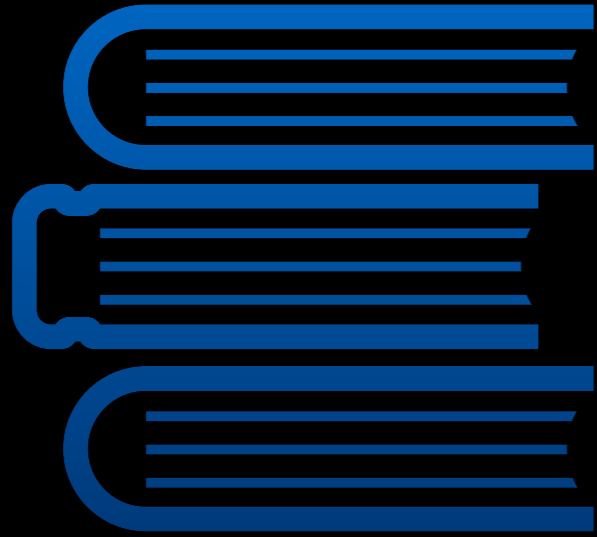
the state of agreements



from secrecy to transparency ?

Agreement registration	ESAC registration Dedicated webpages on the consortium website
Agreement availability	« Stable » URL
Document format	“.pdf” or “.doc” format “machine readable”, “human readable”
Document content	english language (or translation) integral availability removed or inked parts
Financial information	global sums institution costs financial formulas

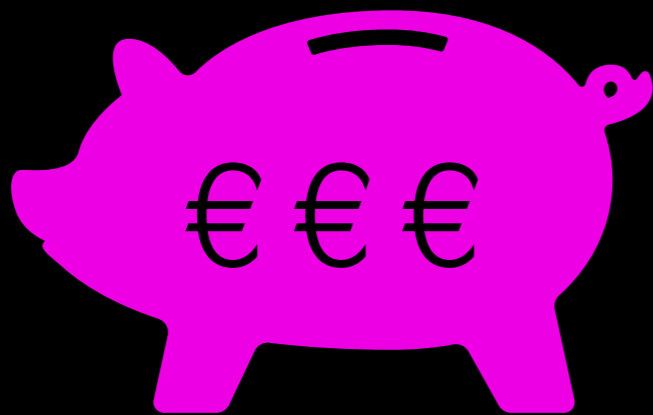
results



Morphology



Contract objects



Finances



OA World

morphology

	Total size (pages)	journal lists (pages)
Highest value	488	171
Lowest value	7	0
Mean	72	30
Median	49	12

morphology

	Springer Compact	Two parts structure	<i>including EISZ model</i>	Atypical structure
Agreements (N)	9	47	6	1
Agreements (%)	16	82	10	2
Examples	Germany 2020 Springer/Projekt	Norway 2020 Sage/Unit	Hungary 2019 RSC/EISZ	France 2019 Elsevier/ Couperin

subscription

Journal Lists

Archive



Collections

**Post-Termination
Access**

Sum ventilation

 discount

read 

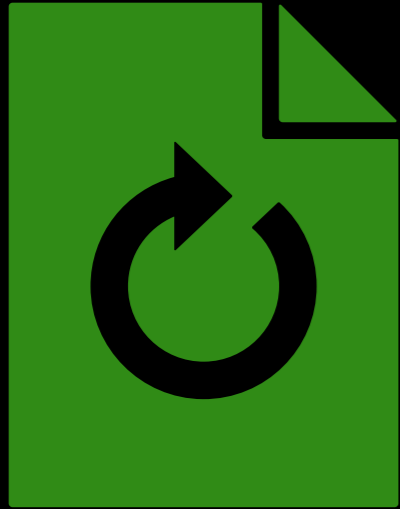
 publish




publish & read



OA publishing workflow



Editorial
Independence



Eligibility



Author
identification



Funds
Management



Monitoring

OA publishing options

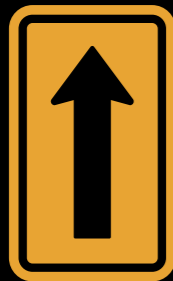
Hybrid



Full OA



Perimeter



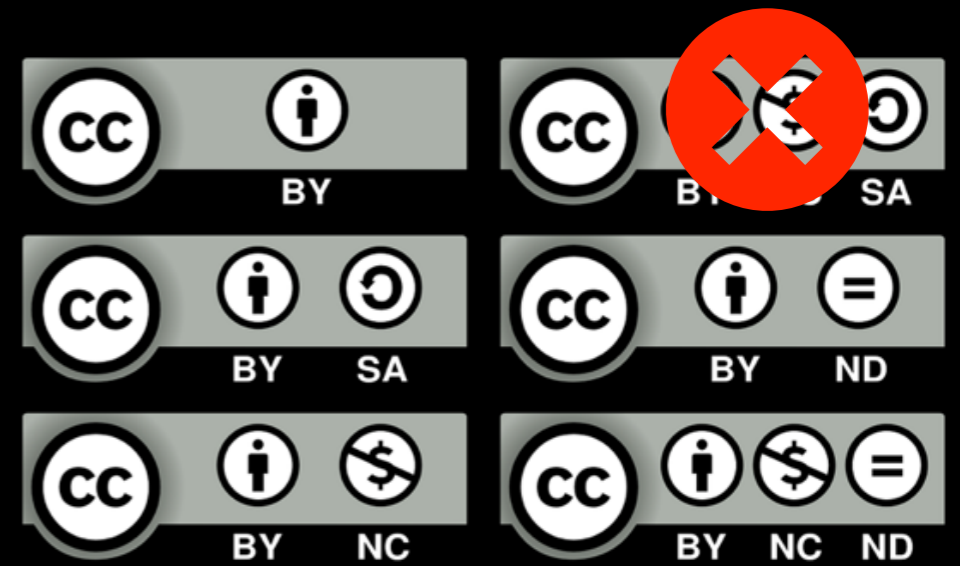
Obligation



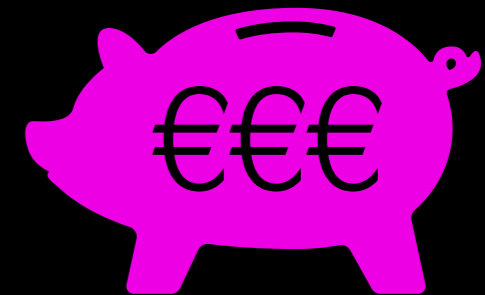
Green OA



Author(s)



Licenses



Payer model

Sum/Volume

Classic
APC



Pay as you publish

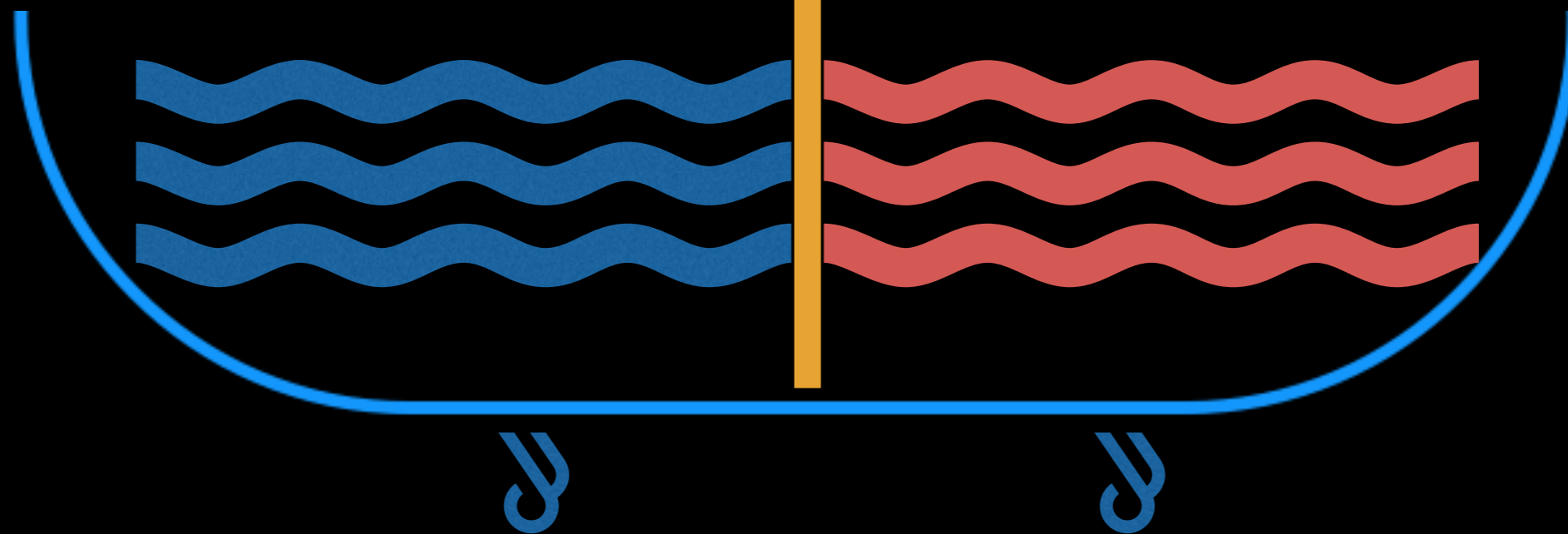
Two worlds in one agreement

NON transformative
agreements



subscription

publication



5

OPEN ACCESS PRINCIPLES *for* NEGOTIATIONS WITH PUBLISHERS

1 LICENSING &
OPEN ACCESS
GO HAND-IN-
HAND



2 NO OPEN
ACCESS,
NO PRICE
INCREASE



3 TRANSPARENCY
FOR LICENSING
DEALS:
NO NON-
DISCLOSURE



4 KEEP ACCESS
SUSTAINABLE






















5 USAGE REPORTS
SHOULD
INCLUDE OPEN
ACCESS



LIBER
LIBER



Elsevier deals: cost evolution

	VSNU-UKB	2016	
	Finelib	2018	
	Couperin	2019	 
	EISZ	2019	
	VSNU-UKB	2019	
	Unit	2019	
	Bibsam	2020	 
	VSNU-NFU-NWO	2020	 

Cost neutrality?



From : Olsson, L., Lindelöw, C. H., Österlund, L., & Jakobsson, F. (2020)
Cancelling with the world's largest scholarly publisher: lessons from the Swedish experience
of having no access to Elsevier. *Insights*, 33(1), 13. DOI: <http://doi.org/10.1629/uksg.507>

Contrasted views on usage

Springer Nature's Transformative Agreements enable country level transition to open access

New data demonstrates that Springer Nature's national transformative agreements can drive the open access (OA) transition, significantly increase the number of authors publishing OA to over 70%, and lead to a country level 'flip'

London | Berlin, 25 August 2020

Transformative Agreements (TAs) continue to have an influential and driving impact on enabling a country to flip to a fully open access (OA) environment sustainably and effectively. The latest data from Springer Nature on the growth of OA publishing as a result of its national TAs illustrates that any country with an agreement in place with the publisher, has the ability to flip to full OA.

From : Finelib website <http://finelib.fi/more-than-1000-open-access-articles-under-finelib-agreements/>

From : Springer website <https://group.springernature.com/kr/group/media/press-releases/springer-nature-transformative-agreements/18311262>

Contrasted views on usage

802

Total OA articles published si...

110

OA Articles published in the l...

27

OA Articles published l...

included APC for articles submitted between 1.1.202...



706

Corresponding Papers from Agreement Institutions not i...

13.8 Mio EUR

4842 EUR

14.1 Mio EUR

4692 EUR

14.4 Mio EUR

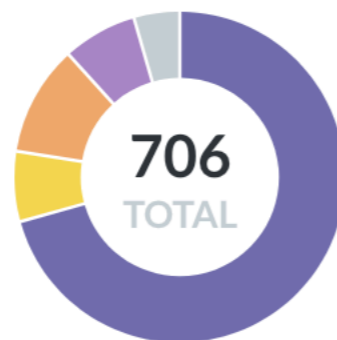
4558 EUR

14.6 Mio EUR

?

Excluded Corresponding Author Papers by Agreement Elegibility

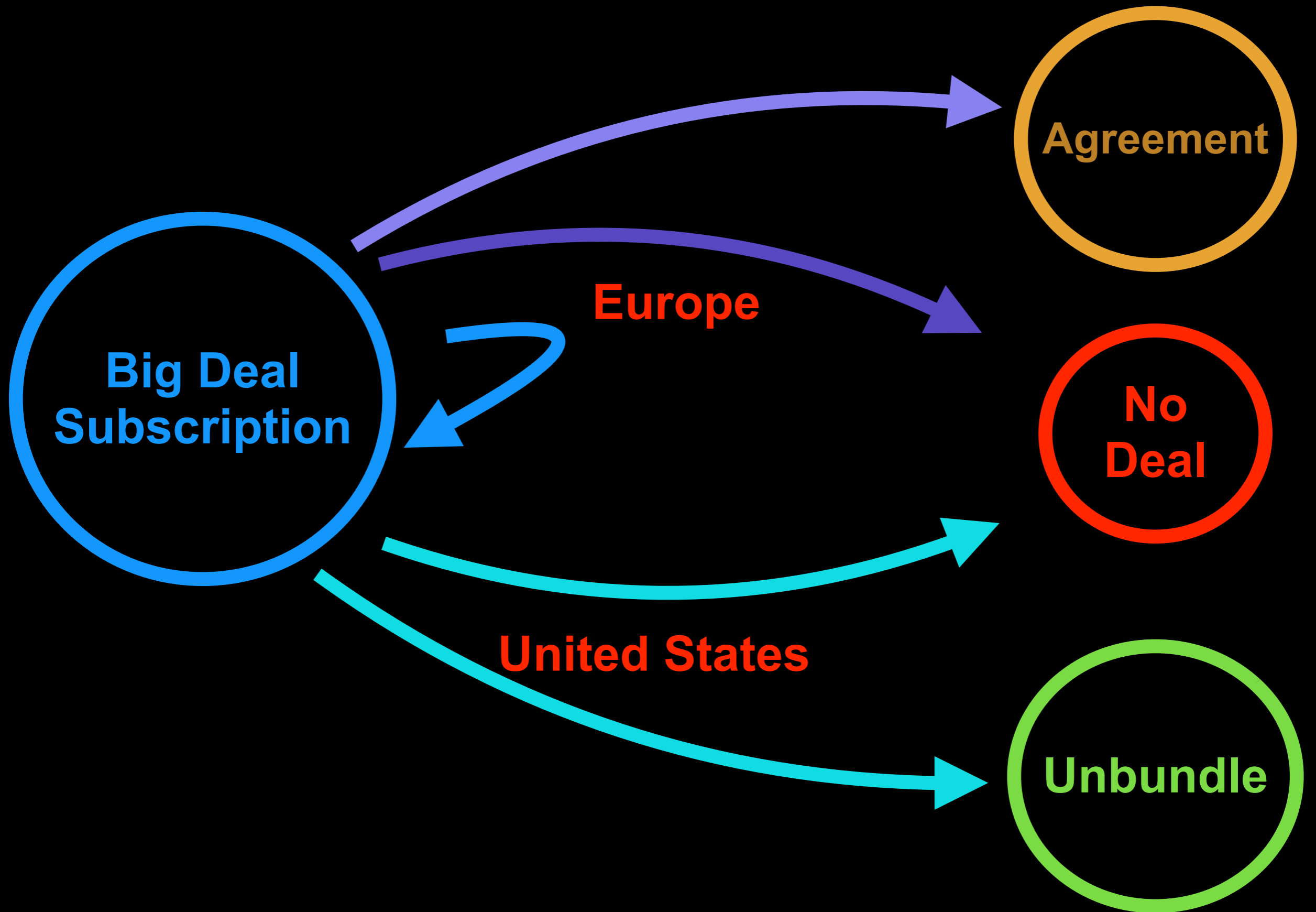
- Eligible 71.39%
- Not Eligible - Cell Press 6.66%
- Not Eligible - Society h... 10.62%
- Not Eligible - Subscripti... 7.08%
- Other 4.25%



Defining objectives

Objecives	Agreement type	OA form	Journal perimeter
To flip subscription papers into OA	Read & Publish	Limited publication through an APC fund	Hybrid journals only
Distribution of costs and risks	Publish & Read	Unlimited publication	Hybrid journals only
Pay a fixed sum for the whole OA production	Publish & Read	Publication illimitée	Hybrid & full OA journals
Make OA publication a bonus service	Discount	Discount on full OA papers + open archive	Hybrides & full OA journals
Status quo & lock-in avoidance	Read & Free articles	/	Subscription
Principle respects	No Deal	/	/

The evolution of the big deal



Thanks for you attention

This research has be presented in a full public report in French with an executive summary in English

<https://www.ouvri.lascience.fr/contracting-in-the-age-of-open-access-publishing-a-systematic-analysis-of-transformative-agreements/>

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*A*thens
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